succional as bonoithm (A) -relates to providing head after opening bottle/can, by strulation - ultrasoric vibration - dass + contents located on tray platform, part of ups transducer - tray may contain vater > sufficient coupling (1). produce identified. PATENT SPECIFICATION

(21) Application No. 38888/76

(22) Filed 20 Sep 1976

(23) Complete Specification Filed 7 Sep 1977

(44) Complete Specification Published 29 Apr 1981

(51) INT.CL<sup>3</sup>

B01F 3/04 // 5/04

(52) Index at Acceptance B1C 18A4 27 B2E B3

(72) Inventors: John Barclay Hedderick, John Anthony Carey and Peter Cedric Roy Hildebrand

## (54) IMPROVEMENTS IN OR RELATING TO THE PREPARATION OF BEVERAGES CONTAINING GAS IN SOLUTION

We, ARTHUR GUINNESS SON & COMPANY (DUBLIN) LIMITED, of St. James's Gate Brewery, St. James's Gate, Dublin, Republic of Ireland, a Company organised and exist-5 ing under the laws of the Republic of Ireland, do hereby declare the invention, for which we pray that a patent may be granted to us, and the method by which it is to be performed, to be particularly described in and by the follow-10 ing statement:-

This invention relates to the preparation of beverages containing gas in solution and is particularly concerned with the formation, during such preparation, of a head of froth on the

15 beverage.

According to the present invention there is provided, as a vendible product, a beverage provided in a drinking vessel and having a head of froth thereon, said beverage having been dis-20 pensed by manual pouring into the drinking vessel from, and subsequent to, the opening of a sealed package (as hereinafter defined) in which the beverage was contained with an inert gas (as hereinafter defined) and/or carbon 25 dioxide gas in solution therewith, the beverage having been subjected, subsequent to the opening of the sealed package, to stimulation by an excitation medium (as hereinafter defined) so that at least part of the inert gas and/or carbon 30 dioxide gas comes out of solution to form, or assist in the formation of, the head of froth.

Further according to the present invention there is provided a method of providing as a vendible product, a beverage from a sealed 35 package (as hereinafter defined) in which the beverage is retained, the beverage having in

solution therewith at least one of the gases selected from the group consisting of an inert gas (as hereinafter defined) and carbon dioxide, 40 and which comprises opening the sealed pack-

age, and subjecting the beverage to excitation (as hereinafter defined) to an extent sufficient to cause some of said gas on gases to come out of solution and cause or contribute to the form-45 ation of a head of froth on the beverage.

By "inert gas" as used throughout this Specification (which includes the appended claims) is meant a gas other than carbon dioxide with the following properties

the beverage (particularly with fermented

(iii) as compared with carbon dioxide: -(a) it is relatively insoluble in fermented

(b) it does not have a large effect on the size of head of froth for a given pressure change of dissolved gases ("size of head" being the volume of froth initially present on the top of the beverage in an open topped vessel after the beverage has been dispensed into the vessel and the head has been formed);

(v) it does not impair the normal taste of the

Preferably the inert gas is nitrogen having advantages that it is readily obtainable, generally inexpensive and is non-inflammable. An example of another gas which may be considered suitable for the purpose of the present

invention is argon.

By the phrase "sealed package" as used throughout this Specification (which includes the appended claims) is meant a container such as a bottle or can in which a relatively small quantity of the beverage is sealed from atmosphere (as compared with a bulk quantity container such as a beer keg) and by which the beverage may be transported, shelf stored and dispensed into a drinking vessel by manual pouring directly from the container.

By the term "excitation" as used through-

out this Specification (which includes the appended claims) is meant one or more of the following:

(a) vibration of at least audio frequency (applied externally to a container within which 90 the beverage is contained 15

(b) gas, liquid and/or foam flow through the beverage by injection of such gas, liquid and/or foam into the beverage, the source of such flow being remote from the sealed package;

(c) an excitation surface the characteristics of which are chosen and/or which surface is

(i) it does not itself react chemically with 50 (ii) when applied to, or dissolved in, the beverage it does not promote or develop bacteriological reactions; 55 liquor such as beer, e.g. in the order of less than 5% by volume as compared with 100% 65 (iv) it is not harmful to the consumer; beverage. 70

80

120

processed to expose the beverage to nucleation sites capable of inducing foaming

The vibration mentioned at (a) above is preferably ultrasonic. The liquid or foam as mentioned in (b) above is preferably that of, or derived from, the beverage which is retained in the package and the liquid can be supersaturated. The gas as mentioned in (b) above can be carbon dioxide or an inert gas but is conveniently air which is injected under pressure into the beverage. A preferred form of excitation surface comprises polystyrene granules derived from a block of polystyrene which has been shattered in a mill or otherwise to 15 produce sharp edges to the granules.

Protects starp edges to the grandes.

Each form of excitation (a) and (b) above is preterably applied to the beverage while this in an open topped vessel (having been manually poured into such wessel after opening of the scaled package), it can however be applied to the beverage while the latter is still in the package but after such package has been unsealed. The beverage is conveniently subjected to the excitation surface while it is being poured from the container to a drinking glass; for example, the beverage may be poured through a quantity (typically 10 grams) of shattered polystyrene granules which have been suitably supported while in the flow of beverage (an appropriate support may consist of a suitably constructed gauze cage containing the granules).

The present invention was primarily developed for use in the preparation of a beverage in the form of a fermented liquor such as beer, lager, ale or stout - however, the invention is also applicable to the preparation of nonfermented beverages having physico-chemical properties that can lead to the formation of a beer-type head of froth.

It is well known to provide a sealed package in which the beverage, be it either a fermented liquor or a "soft-drink" contains gas in solution - the gas being present either as a result of its injection into the beverage or as a result of the final stages of fermentation which may be allowed, or be intended, to take place in the sealed package. Hitherto the gas in beverages in sealed packages has been wholly or primarily of carbon dioxide so that upon opening of such a sealed package and dispensing of its contents, the beverage effervesces to form the head of froth as the carbon dioxide comes out of solution. In such a known sealed package it is usual that upon dispensing of the beverage the carbon dioxide readily comes out of solution to form the head, usually without the beverage having to be externally excited or stimulated, for example by manual shaking of the package; in accordance with the present invention however 60 release of the carbon dioxide from the beverage may be expedited by subjecting the beverage to stimulation by excitation.

In comparison with the dispensing of beverages from sealed packages, the dispensing of a beverage, particularly stout or beer, in draught

form from a bulk container is usually achieved by the application from an external supply of a pressurized gas (which is usually carbon dioxide or a mixture of carbon dioxide and nitrogen) to the bulk beverage. Consequently the draught beverage when being dispensed can contain nitrogen in solution as a mixture with carbon dioxide (the latter being present at a lower level than is normally encountered in that beverage when contained in a sealed package); this is considered to be most advantageous since it enables a smaller size of bubble to be formed (in comparison with such bubble as would be formed by carbon dioxide alone) without releasing too much gas. By reducing the bubble size in the froth there becomes a relatively greater surface area of surface active compounds and a greater density to the froth so that perceived creaminess and persistance of the froth is relatively increased. This latter effect is considered particularly advantageous for stout where it is most desirable that the froth should persist and be of a creamy or foamy nature in comparison with the light froth as is conventionally provided on light beer or lager. To understand the development of the aforementioned creamy or foamy froth, it is first realised that a bubble forming part of that froth can only survive in the stout if its internal pressure is at least equal to the forces which are tending to make it collapse - particularly hydrostatic pressure and surface tension. The latter is inversely proportional to the radius of the bubble so that the smaller the bubble the greater must be its internal pressure to prevent 100 it collapsing from surface tension. The maximum internal pressure of the bubble is the sum of the pressures necessary to retain the concentrations of the dissolved gases in solution consequently to decrease the bubble size the 105 pressures of the dissolved gases must be increased accordingly with the effect that more gas is dissolved. In the case of carbon dioxide the amount of gas dissolved quickly rises to a point of producing an unmanageable amount of 110 froth on the beverage. For this reason the nitrogen (or other inert gas) is introduced since it has a much lower solubility than carbon dioxide and it thus becomes possible to obtain a significant increase in the pressures of the dis-115 solved gas without an unacceptable increase in the volume of dissolved gases.

During the dispensing of draught beverage as aforementioned containing nitrogen in solution (or other inert gas in solution and possibly mixed with carbon dioxide as discussed in our British Patent Specification No. 876 628) the beverage is processed in a manner which is particularly intended to release the nitrogen and carbon dioxide from solution to promote the 125 formation of very small bubbles of nitrogen and carbon dioxide which will form a creamy froth. Such processing of the draught beverage to release the nitrogen and carbon dioxide from solution is necessary to produce the very small 130

bubbles and assist the evolution of carbon dioxide. Conventionally the nitrogen and carbon dioxide release and the formation of very small enduring bubbles is achieved by the draught beverage being subjected to cavitation as it suffers a pressure drop in passing through an array of small orifices in a plate located up-stream or downstream of the dispensing tap.

The presence of a particular gas in solution influences, to a certain extent, the flavour characteristic of a beverage when dispensed and the head of froth formed. This is particularly true of stout where there is a major difference in quality between stout with a carbon dioxide 15 content above 2.0 vols./vol. of beer as dispensed from a sealed package such as a can or bottle (where the head is predominantly formed by release of carbon dioxide) and

similar stout containing dissolved nitrogen and with a carbon dioxide content in the range of 0.8 to 1.8 vols./vol. of beer when dispensed in draught form from a keg pressurised with nitrogen and carbon dioxide (where the head formation is assisted by nitrogen release). For the 25 avoidance of doubt the units "vols./vol." as

used throughout this Specification are the number of volumes of gas which are dissolved in a unit volume of the beverage, said volumes of gas being determined at a pressure of 760 milli-

metres of mercury and at a temperature of 15.6°C. The aforementioned difference in quality is particularly noticeable in the case of the foam. In the latter - draught - case the foam is more creamy which can be objectively

measured by a high foam density. It may be undesirable that it has not, until now, been possible to produce from conventional sealed containers such as bottles or cans a beverage with characteristics of draught stout. The present invention was therefore developed in an

attempt to alleviate the aforementioned difficulty whereby a customer purchasing a beverage dispensed from a sealed package could expect such beverage to have substantially the same flavour and appearance characteristics as if that beverage had been dispensed in draught form from bulk containers by carbon dioxide and/or nitrogen or other inert gas under pres-

In a preferred form of the present invention therefore stout in a sealed package is charged with nitrogen (or other inert gas) so that the nitrogen and carbon dioxide (the carbon dioxide usually being at a lower level than is normally encountered in wholly carbonated bottles or canned beverages and typically in the range of 0.8 to 1.8 vols/vol. is caused to come out of solution, at least in part to form or contribute to the formation of, a head of froth on the stout as a result of the stout being subjected to stimulation by excitation.

Embodiments of the present invention will now be described, by way of example only with reference to the accompanying illustrative

65 drawings, in which:-

Figure 1 shows how the beverage can be subjected to stimulation by ultrasonic vibration to form a head of froth:

Figure 2 is a cross section through an injector device by which a supersaturated liquid can 70 be injected into the beverage to provide the necessary stimulation for froth formation; Figure 3 illustrates the manner in which the

device of Figure 2 can be used;

Figure 4 shows use of a syringe device for achieving stimulation of the beverage to provide froth formation:

Figure 5 shows an arrangement by which the beverage can be subjected to an excitation surface to provide the necessary stimulation for froth formation; and

Figure 6 illustrates a dispensing device for attachment to a bottle so that during pouring of beverage therefrom the beverage is subjected to an excitation surface.

Each of the examples discussed herein after for putting the present invention into effect will be considered in relation to the preparation and serving of stout from a sealed bottle or can, such stout in the bottle or can having in solution therewith a mixture of nitrogen and carbon dioxide, the former preferably being present to the extent of at least 1.5% vols./vol. and typically in the range 1.5% to 3.5% vols./vol. and the carbon dioxide being present at a considerably lower level that the amount of carbon dioxide which would normally be present in conventionally bottled or canned stout and

typically in the range of 0.8 to 1.8 vols./vol. Referring to Figure 1, after opening of the bottle 1 (or can) the stout is poured manually into a drinking glass 2 which is preferably of the thin-walled type. The glass 2 and its contents are located on a tray or platform 3 which forms part of an ultrasonic transducer unit 4 which may be of conventional form. Whilst on the tray 3 the glass and its contents are subjected to ultrasonic vibration to an extent and for a time sufficient to cause some of the nitrogen and carbon dioxide to come out of solution from the stout to form a head of creamy froth thereon (or to contribute to the formation of the head bearing in mind that a relatively small amount of head may already be present on the stout created by the carbon dioxide release or as a result of slight aeration which may occur in the stout while it is being poured from the bottle or can into the glass). A switch 5 is provided on the unit 4 for controling the ultrasonic vibration and when the required head has been formed by the ultrasonic vibration the stout is ready for consumption. To provide efficient ultrasonic coupling between the glass 2 and the tray 3 the tray may contain water within which the glass stands

The injection device shown in Figure 2 comprises a cylindrical tube 6 within which is axially slidable a piston 7. Attached to the piston 7 is a piston rod 8 which slidably extends through a cap 9 at the upper end of the tube.

80

130

1 588 624

The piston 7 is biased downwardly towards a nozzle 10 at the lower end of the tube by a helical spring 11 which reacts against the end cap 9. The piston 7 can be axially withdrawn manually against the biasing of spring 11 by a handle 12 on the piston rod until the rod 8 engages a catch mechanism 13 which retains the device in a "cocked" condition. In this latter condition the piston 7 clears an aperture 14 which is located in the tube 6 between the piston (when cocked) and the nozzle 10. The nozzle 10 has an outlet orifice 15 within which is normally seated a ball valve member 16, furthermore the periphery of the onifice 15 is provided with a circumferentially spaced array of small grooves (not shown) so that when the ball is seated in the orifice 15 small "cavitation" channels are formed between the grooves and the surface of the ball 16 and which channels communicate between the lower inner chamber of the injection device and the outside of the nozzle.

To cause the head to form on the stout (as poured into the glass 2) by the device shown in Figure 2, the nozzle end and part length of the tube 6 is inserted into the stout (while the device is in a cocked condition) so that the aperture 14 remains clear of the stout (see Figure 3). The ball member 16 is lifted slightly from the orifice 15 (by static pressure of the stout) and the lower chamber of the device partly fills with the stout (which is regarded as a supersaturated liquid). The catch mechanism 13 is released when the stout levels in the tube 6 and in the glass 2 have equalised so causing the piston 7 to be driven downwardly (as indicated at 7' in Figure 2) by the pressure of spring 11; consequently the increased pressure on the stout in the injection device urges the ball 16 on to its seating and displaces the stout from the ejector device into the stout in the glass by way of the cavitation channels. In passing through the cavitation channels the stout is subjected to cavitation and gas therein comes out of solution and this acts to initiate and encourage evolution of the dissolved gases from the stout in the glass by "seeding" the formation of further fine bubbles in the stout (as discussed in British Patent Specification No. 1 266 351) to form the head.

The stout as poured into the glass 2 can be subjected to the necessary excitation by use of a syringe device 17 shown in Figure 4. By one method of using the device 17, the plunger 17a of the syringe is withdrawn with the nozzle 17b in water so that the chamber of the device is filled with water 18 and thereafter air is drawn into the chamber to form an air bubble in the head space 19. The amount of water involved will be negligible (say about 3 millilitres) in comparison with the quantity of the stout in the glass. The nozzle 17b is now inserted into the stout in the glass and the water and air rapidly injected below the surface of the stout. 65 It is believed that the water has little or no

function in initiating the head formation on the stout and it is pressurised entry of the air into the stout which seeds the formation of the fine bubbles to form the head previously described; the water, however, conveniently serves as a 'plug" in the syringe which allows the air bubble in the syringe to be compressed prior to its injection.

By a further method of using the syringe device 17 the chamber of the device is wholly filled with water and such water is jetted under pressure onto the surface of the stout in the glass 2 (that is with the nozzle 17b above the surface of the stout); the jet of water entrains air which is subsequently injected along with the water jet, into the stout and the injected air is sufficient to "seed" the formation of fine bubbles to form the head as previously discussed.

By a still further method of using the syringe device 17, the nozzle 17b is dipped into the stout in the glass 2 and stout is drawn under vacuum in to the syringe chamber - this has the effect of subjecting the stout to cavitation as it enters the syringe chamber through the nozzle and consequently the stout in the chamber foams in the headspace of the syringe chamber. The stout and foam are now injected from the syringe back into the glass (while the nozzle 17b is submerged in the stout) and the injection of such foam initiates and encourages evolution of the dissolved gases by seeding to form the head as previously discussed.

In each of the aforementioned examples of putting the invention into effect, the stout is subjected to excitation after it has been poured into and while it is in, the drinking glass 2. If required each such method may be modified by subjecting the stout to the excitation while it is still in the bottle 1 or can (but after opening of 105 such bottle or can) so that the bottle or can along with its contents can be subjected to ultrasonic vibration on a tray or the desired gas/ foam/liquid can be injected into the stout in the bottle or can to cause the aforementioned "seeding" effect on the stout. It is of course desirable that sufficient headspace is provided in the can or bottle to allow the creamy froth to begin to develop immediately prior to pouring the beverage into the drinking glass.

By the present invention a head of creamy froth can be formed on the stout derived from the bottle 1 by pouring such stout over a surface which has nucleation sites capable of inducing foaming and in the embodiment shown in Figure 5 the stout is poured from the bottle over polystyrene granules 20 (which are retained in a simple gauze container of strainer 21) and into the glass 2. The granules 20 are conveniently formed by shattering a block of polystyrene in a mill and it is believed to be the flow of stout over the nucleation sites provided by the sharp peaks on the surface of such granules which causes evolution of the gases in the stout to promote the head formation. Figure 6

95

115

illustrates a dispensing device 22 having a stopper portion 23 which engages within the mouth of the bottle 1 of stout. Extending through the body of the device 22 and into the bottle 1 are two tubes 24 and 25 of which tube 24 is for the admission of air into the bottle during pouring of stout from the bottle through tube 25. It will be noted that tube 24 enters deeper into the bottle than tube 25 so the liquid pressure differential will ensure the stout flows through the tube 25 rather than through the tube 24. Housed within the tube 25 are polystyrene granules 20 which are retained in the tube by gauze caps 26 on the ends of the tube; consequently as the stout is poured into the glass through tube 25 it flows over the surface of granules 20 which causes evolution of the gases in the stout and formation of the head foam. WHAT WE CLAIM IS:-

1. A method of providing as a vendible product, a beverage from a sealed package (as herein defined) in which the beverage is retained, the beverage having in solution therewith at least one of the gases selected from the group consisting of an inert gas (as herein defined) and carbon dioxide, which comprises opening the sealed package, and subjecting the beverage to excitation (as herein defined) to an extent sufficient to cause some of said gas or gases to come out of solution and cause or contribute to the formation of a head of froth on the beverage.

 A method as claimed in Claim 1 which comprises subjecting the beverage to excitation
 in the form of vibration which is ultrasonic.

3. A method as claimed in either Claim 1 or Claim 2 which comprises subjecting the beverage to excitation in the form of foam injection, such foam being derived from the beverage which is in the package.

4. A method as claimed in any one of the preceding claims which comprises subjecting the beverage to excitation in the form of liquid injection, such liquid being supersaturated with at least one of the gases selected from the group consisting of an inert gas (as herein defined) and carbon dioxide.

 A method as claimed in Claim 4 in which the liquid is that of the beverage from the package.

6. A method as claimed in any one of the preceding claims which comprises subjecting the beverage to excitation in the form of gas injection, such gas being selected from the group consisting of an inert gas (as herein defined), carbon dioxide and air.

7. A method as claimed in any one of the preceding claims which comprises subjecting the beverage to excitation in the form of an excitation surface comprising polystyrene

granules.

8. A method as claimed in any one of the preceding claims which comprises subjecting the beverage to excitation while said beverage is retained in the opened package.

9. A method as claimed in any one of the preceding claims which comprises subjecting the beverage to excitation while said beverage is located in an open topped vessel into which it has been poured from the package.

5

80

85

105

115

130

10. A method as claimed in any one of the preceding claims in which the beverage is subjected to excitation in the form of an excitation surface while such beverage is being poured from the package into an open topped vessel.

11. A method as claimed in any one of the preceding claims in which the beverage in the package has in solution therewith an inert gas in the form of nitrogen.

12. A method as claimed in Claim 11 in which the beverage in the package has in solution therewith nitrogen and carbon dioxide, the nitrogen content being at least 1.5% vols./vol. and the carbon dioxide being present in the range 0.8 to 1.8 vols./vol.

13. A method as claimed in Claim 12 in which the nitrogen is present in the range 1.5% to 3.5% vols./vol.

14. A method as claimed in any one of the preceding claims in which the beverage is a fermented liquor.

15. A method as claimed in Claim 14 in which the liquor is a beer, lager, ale or stout.

16. A method as claimed in Claim 1 and substantially as herein described with reference 95 to Figure 1 of the accompanying illustrative drawings.

17. A method as claimed in Claim 1 and substantially as herein described with reference to Figures 2 and 3 of the accompanying illustrative drawings.

18. A method as claimed in Claim 1 and substantially as herein described with reference to Figure 4 of the accompanying illustrative

19. A method as claimed in Claim 1 and substantially as herein described with reference to Figure 5 of the accompanying illustrative drawings.

20. A beverage when provided as a vendible 110 product by the method as claimed in any one of the preceding claims.

21. As a vendible product, a beverage provided in a drinking vessel and having a head of froth thereon, said beverage being provided from the opening of a sealed package (as herein defined) in which the beverage was contained with at least one gas selected from the group consisting of an inert gas (as herein defined) and carbon dioxide gas in solution therewith, the beverage having been subjected, subsequent to the opening of the sealed package, to stimulation by excitation (as herein defined) so that at least part of said gas or gases comes out of solution to form, or assist in the formation of, the head of froth.

22. A beverage as claimed in Claim 21 in which the gas in solution therewith comprised the inert gas nitrogen.

23. A beverage as claimed in Claim 22 in

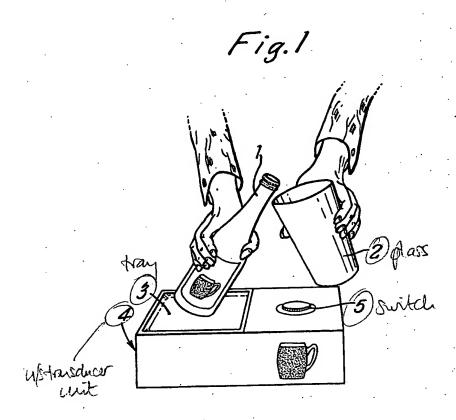
	which the gas in solution therewith comprised at least 1.5% vols./vol. nitrogen and carbon dioxide present in the range 0.8 to 1.8 vols./	27. A beverage as claimed in Claim 21 and substantially as herein described.	15
5	vol.  24. A beverage as claimed in Claim 23 in which the nitrogen content was in the range 1.5% to 3.5% vols./vol.  25. A beverage as claimed in any one of	URQUHART-DYKES & LORD Chartered Patent Agents 11th Floor St. Martin's House 140 Tottenham Court Road	. 20
0	Claims 21 to 24 in the form of a fermented liquor.  26. A beverage as claimed in Claim 25 in which the liquor is a beer, lager, ale or stout.	London W1P 0JN and 11th Floor Tower House Merrion Way Leeds LS2 8PB	

Printed for Her Majesty's Stationery Office by MULTIPLEX medway ltd., Maidstone, Kent, ME14 1JS. 1981. Published at the Patent Office, 25 Southampton Buildings, London WC2 1AY, from which copies may be obtained.

COMPLETE SPECIFICATION

4 SHEETS

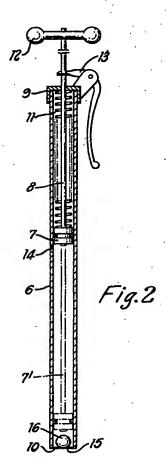
This drowing is a reproduction of the Original on a reduced scale Sheet 1



COMPLETE SPECIFICATION

4 SHEETS

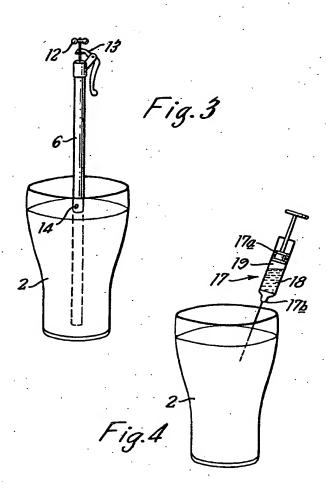
This drawing is a reproduction of the Original on a reduced scale Sheet 2



COMPLETE SPECIFICATION

4 SHEETS

This drawing is a reproduction of the Original on a reduced scale Sheet 3

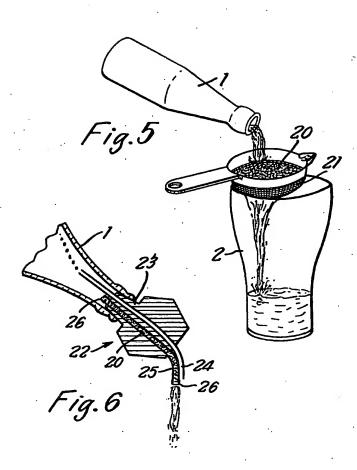


COMPLETE SPECIFICATION

4 SHEETS

This drawing is a reproduction of the Original on a reduced scale

Sheet 4



## This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:		
☐ BLACK BORDERS		
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES		
☐ FADED TEXT OR DRAWING		
BLURRED OR ILLEGIBLE TEXT OR DRAWING		
☐ SKEWED/SLANTED IMAGES		
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS		
☐ GRAY SCALE DOCUMENTS		
☐ LINES OR MARKS ON ORIGINAL DOCUMENT		
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY		
OTHER:		

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.